



### **SUMMARY OF INVESTOR RIGHTS**

### I. Introduction

The European Union has taken steps to facilitate the cross-border distribution of funds (both undertakings for collective investments "UCITS" and alternative investment funds "AIFs") by introducing the Cross-Border Distribution of Funds package of measures (Directive (EU) 2019/1160 and a Regulation (EU) 2019/1156).

The aim of the present document is to give information on the exercise of investors' rights associated with their investments in the Luxembourg funds for which Mirabaud Asset management (Europe) SA (hereinafter referred as to "MAME") acts as fund management company (hereinafter, the "Funds").

### II. Information on the Funds

As an investor you have access to a multitude of documents included legal and marketing documents, as for example a copy of the Fund's prospectus, Articles of association, KIIDs, latest annual and, if available, semi-annual financial statements.

Those documents can be uploaded on our website (<a href="https://www.mirabaud-am.com/en/">https://www.mirabaud-am.com/en/</a>) or made available to you free of charge upon request to MAME.

## III. Entitlement to redeem your investment and receive dividend distributions

Investors are entitled to redeem their investment and receive the redemption proceeds relating to their shares/units within the timeframe and subject to such conditions as detailed in the relevant Fund's prospectus.

Investors are entitled to receive a proportionate share of the income (if any) of the relevant collective investment scheme in which they have invested. Depending on the nature of the shares/units held by the investor, such income may either be accumulated into the Net Asset Value of the investor's shares/units or distributed to the investor as a dividend payment, in accordance with the terms detailed in the relevant fund's prospectus.

### IV. Voting rights

Save to the extent that an investor has chosen to invest in shares/units which do not carry voting rights, an investor has the right to vote in accordance with the conditions set down in the relevant legal documents, including the prospectus, of the relevant Fund.

## V. Investor's fair and equal treatment

Investors shall in all circumstances be treated fairly and equally, within the timeframe and subject to the conditions detailed in the funds' prospectus and constitutive documents.

# VI. Data privacy rights

Investors should note that by applying for shares/units in the Funds, the investor has provided or will provide information to MAME, which may constitute personal data within the meaning of the Data Protection Legislation and should be aware this personal data will be processed by MAME in accordance with the Data Protection Notice, the current version of which is available on the website of MAME (<a href="https://www.mirabaud-am.com/en/data-protection-notice">https://www.mirabaud-am.com/en/data-protection-notice</a>).

## VII. Entitlement to make a complaint

As an investor, you are entitled to file a complaint free of charge. Further information on our complaints handling policy is available on the following website: <a href="https://www.mirabaud-am.com/fileadmin/user-upload/legal/Complaints/Mirabaud-Asset-Management-Complaints-Policy.pdf">https://www.mirabaud-am.com/fileadmin/user-upload/legal/Complaints/Mirabaud-Asset-Management-Complaints-Policy.pdf</a>



You are also entitled to complain to the CSSF, according to the procedure described on the website of the CSSF Customer complaints – CSSF.

Please note that the European Directive (2020/1828) on representative actions for the protection of the collective interests of consumers (the "Directive") foresees the establishment of a redress mechanism for consumers. The Directive is to be transposed into national laws by 25 June 2023. In the meantime, MAME is committed to address any complaints submitted through its complaint resolution policy or the CSSF out-of-court complaint resolution procedure.

## VIII. Investor rights against the relevant fund and its service providers

As an investor, you have a right of action against the relevant fund of which you are an investor for any breach of contract. Investors do not have any direct contractual rights against any funds' service provider because of the absence of a direct contractual relationship between investors and such service provider.

Instead, the relevant fund or MAME as applicable, has a right of action to pursue a service provider in respect of an alleged wrongdoing committed against the relevant fund or MAME by the relevant service provider.

An investor has a regulatory right of action to pursue the depositary which has been appointed by the fund in respect of (i) any loss of an asset held in the custody of such depositary or any of its delegates or (ii) of any other losses caused by such depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations provided that this does not lead to a duplication of redress or to unequal treatment of investors in the relevant fund.

#### IX. General

Any person who would like to receive further information regarding the strategy followed for the exercise of voting rights of the Funds, the best execution policy and the complaints handling procedure of MAME can find these on the website using the following link: <a href="https://www.mirabaud-am.com/en/legal-disclaimer">https://www.mirabaud-am.com/en/legal-disclaimer</a>.

The investors' attention is drawn to the fact that investments rights can only be fully exercised directly towards a Fund if the investor is registered in his own name in the Fund's register of investors. In case of an investment made through an intermediary, certain investors' rights might not be directly exercised by the investor directly with respect to a Fund, but only through the intermediary.

It should be noted that this summary addresses rights conferred on investors under the applicable legislation governing the operation of funds in which you are invested. You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above.

## Important note

This document contains or may incorporate by reference information concerning certain collective investment schemes ("funds") which are only available for distribution in the registered countries. It is for your exclusive use only and it is not intended for any person who is a citizen or resident of any jurisdiction where the publication, distribution or use of the information contained herein would be subject to any restrictions. It may not be copied or transferred.

This material is provided for information purposes only and shall not be construed as an offer or a recommendation to subscribe, retain or dispose of fund units or shares, investment products or strategies. Before investing in any fund or pursuing any investment strategy, potential investors should take into account all their characteristics or objectives as well as consult the relevant legal documents. Potential investors are recommended to seek prior professional financial, legal and tax advice. The sources of the information contained within are deemed reliable. However, the accuracy or completeness of the information cannot be guaranteed and some figures may only be estimates. In addition, any opinions expressed are subject to change without notice. There is no guarantee that objectives and targets will be met by the portfolio manager.

All investment involves risks, returns may decrease or increase because of currency fluctuations and investors may lose the amount of their original investment. Past performance is not indicative or a guarantee of future returns.



Issued by Mirabaud Asset Management (Europe) SA, 25 avenue de la Liberté, L-1931 Luxembourg. The Prospectus, the Articles of Association, the Key Investor Information Document (KIID) as well as the annual and semi-annual reports (as the case may be), of the Mirabaud Funds may be obtained free of charge on the webpage: <a href="https://www.mirabaud-am.com/en/funds-list/">https://www.mirabaud-am.com/en/funds-list/</a>. Further information on sustainability is available at the following link: <a href="https://www.mirabaud-am.com/en/responsibly-sustainable">https://www.mirabaud-am.com/en/responsibly-sustainable</a>.